

Autoimmune Pancreatitis: Pathological Findings

Günter Klöppel¹, Jutta Lüttges¹, Bence Sipos¹, Paola Capelli², Giuseppe Zamboni²

¹Department of Pathology, University of Kiel. Kiel, Germany.

²Department of Pathological Anatomy, University of Verona. Verona, Italy

Summary

In recent years, autoimmune pancreatitis has been established as a special type of chronic pancreatitis. It is characterized by its histopathological and immunological features. The morphological hallmarks are periductal infiltration by lymphocytes and plasma cells and granulocytic epithelial lesions with consequent destruction of the duct epithelium and venulitis. Autoimmune pancreatitis has therefore also been called lymphoplasmacytic sclerosing pancreatitis, duct-destructive chronic pancreatitis, or sclerosing pancreatitis. Autoimmune pancreatitis most commonly involves the head of the pancreas and the distal bile duct. Occasionally, masses are formed and it has been described as an inflammatory myofibroblastic tumor.

Introduction

Similarly to other solid organs, such as the liver and thyroid, it has long been suggested that a type of pancreatitis with an autoimmune etiology exists. The first reports describing such pancreatitis date back more than fifty years. Ball and Baggenstoss [1] described patients with pancreatitis in conjunction with ulcerative colitis. In 1961, Sarles [2] reported on a case of sclerosing pancreatitis with hypergammaglobulinemia. The term autoimmune pancreatitis (AIP) was coined in the 1990s [3]. Meanwhile a number of reports on single cases or small series of cases which

used various other terms such as “lymphoplasmacytic sclerosing pancreatitis with cholangitis” [4], “non-alcoholic duct-destructive chronic pancreatitis” [5], and “chronic sclerosing pancreatitis” have been published [6]. Here we will adhere to the name autoimmune pancreatitis, since this term has recently been widely recognized [7], although the evidence for an autoimmune pathogenesis is so far only circumstantial [8, 9, 10]. This short review will deal with the pathology of autoimmune pancreatitis focusing on duct changes and storiform fibrosis.

Pathology

Information about the pathology of AIP is available from case reports and several small series which have recently been published [4, 5, 6, 11, 12, 13, 14, 15]. Our knowledge is based on a series of 63 cases which were reported in Germany, Belgium and Italy [16]. The gross appearance of AIP mimics pancreatic ductal carcinoma because the inflammatory process, like carcinoma, commonly focuses on the head of the pancreas and leads to a gray to yellowish-white induration of the affected tissue with loss of its normal lobular structure. The involved portions may be enlarged. These changes cause obstruction of the main pancreatic duct and usually also of the distal bile duct. In a minority of cases the inflammatory process is concentrated in the body or the tail of the pancreas. Diffuse

involvement of the pancreas may also be seen, but so far it is not known how frequently and to what extent the entire pancreas is affected in AIP. In contrast to other types of chronic pancreatitis, such as alcoholic chronic pancreatitis, hereditary pancreatitis and tropical pancreatitis, there are no pseudocysts. Calculi (i.e. intraductal calcifications) are usually absent, but if they occur, they seem to occur late in the course of the disease [17].

The hallmark of the histological changes in the pancreas in AIP is an intense inflammatory cell infiltration around medium-sized and large interlobular ducts [4, 5, 13, 16]. Smaller ducts may also be involved, but only in advanced cases. The inflammatory infiltrate consists mainly of lymphocytes and plasma cells, but also contains some macrophages and occasionally also neutrophilic and eosinophilic granulocytes [18]. Immunocytochemical typing of the lymphocytes reveals that most of them are CD8 and CD4 positive T lymphocytes with B lymphocytes present to a lesser degree. The infiltrate completely encompasses the ducts and may narrow their lumen by infolding of the epithelium, often giving the lumen a star-like structure. In later stages, the duct wall is thickened by periductal fibrosis.

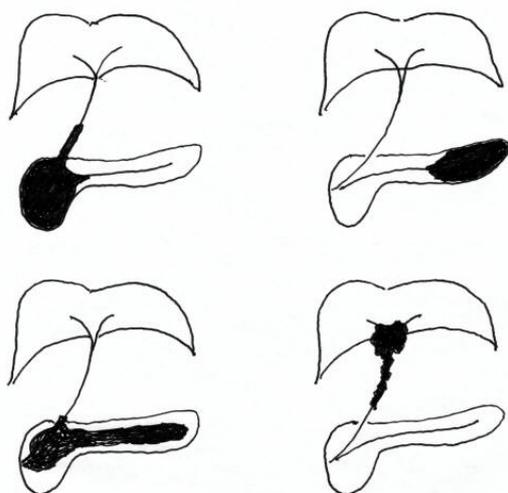


Figure 1. Scheme showing the variable involvement of the pancreas and the biliary tract which may be observed in patients with autoimmune pancreatitis (from [38], with modifications).

In a number of cases, the chronic changes in the pancreas are overlain by “granulocytic-epithelial” lesions of the ducts. This acute inflammatory component of AIP is characterized by focal detachment, disruption and destruction of the duct epithelium due to invading neutrophilic, and occasionally also eosinophilic granulocytes, which may also cluster immediately beneath the duct epithelium. The granulocytic infiltration sometimes extends into the small intralobular ducts and acini. Though these acute duct changes may be severe, total duct destruction leaving scars which replace the ducts seems to be a rare event.

The extension and severity of the chronic and acute changes in AIP vary from case to case and even from one area to another within a single pancreas. In some cases, the inflammatory process occupies only a relatively small part of the pancreas and alternates abruptly with areas in which only minimal inflammation is found or in which the pancreatic tissue is even normal. If the tissue is only slightly affected, the inflammation focuses almost entirely on the ducts while, in severely affected pancreases, the inflammatory process involves the acinar parenchyma in addition to the ducts and leads to diffuse sclerosis [19] which may contain scattered B cell-rich small lymphoid follicles. The acinar cells are then more or less replaced by inflammatory cells and fibrosis, and the lobular architecture of the pancreas is almost lost. If the fibrotic changes occupy large areas which show myofibroblasts in a storiform arrangement, they may mimic the features of an inflammatory pseudotumor [20].

In addition to the duct changes and the sclerotic process, there are vascular changes. Vasculitis affecting the small veins is the most frequent while obliterative arteritis is less common.

If the inflammatory process affects the head of the gland (as in approximately 80% of the cases), it usually also involves the distal common bile duct, where it leads to a marked thickening of the bile duct wall due to a diffuse lymphoplasmacytic infiltration combined with fibrosis (Figure 1). In some

cases, the inflammation also extends to the hepatic ducts of the liver hilus and the gall bladder wall [21]. The inflammatory process is usually well demarcated from the surrounding fatty tissue. The peripancreatic and peribiliary lymph nodes are enlarged and show follicular hyperplasia.

Relationship to Inflammatory Pseudotumor and Primary Sclerosing Cholangitis

There are a number of reports on inflammatory (myofibroblastic) pseudotumors occurring in the head of the pancreas involving the pancreatic duct as well as the distal common bile duct [22], some associated with retroperitoneal fibrosis [23, 24, 25]. Judging from the descriptions and illustrations of these cases, these changes appear to be compatible with those seen in AIP. As the clinical features of the reported inflammatory pseudotumors of the pancreas are also very similar, it is likely that these lesions may represent an advanced stage of AIP in which the fibrotic changes predominate and the disease focuses on a certain area [16]. The fact that inflammatory pseudotumors showing sclerosing cholangitis have been observed in the liver hilus [26] suggests that there is possibly an idiopathic pancreatobiliary inflammatory disease complex whose facets include AIP, extrahepatic sclerosing cholangitis and inflammatory pseudotumor of the pancreas and/or the common bile duct (Figure 1).

Inflammatory and sclerosing changes of the distal bile duct (which sometimes also involve the gallbladder) are very frequent and almost an integral part of AIP. Because of their similarity to extrahepatic primary sclerosing cholangitis (PSC), a relationship with this autoimmune liver disease has been discussed. However, the PSC-like changes in the extrahepatic bile duct system have so far never been found to be accompanied by intrahepatic PSC. Moreover, unlike typical PSC, they appear to respond to steroid therapy. Therefore, it is likely that AIP, even

if it involves the extrahepatic bile ducts, is a different disease and distinct from PSC.

Pathogenesis

The inflammatory duct changes seen in AIP point to potential antigens within the duct epithelium which have become targets of an immune process. Typing of the inflammatory duct-associated cells revealed CD4+ and CD8+ T cells to be the most common [5, 27]. Increased numbers of these T cells bearing HLA-DR were also found in the peripheral blood [28]. Subtyping of the CD4+ cells according to their cytokine production profiles revealed a predominance of CD4+Th1 cells over Th2 cells in some cases [28], similar to what has been reported in Sjögren's disease [29] and PSC [30]. HLA-DR antigens have also been detected on pancreatic duct cells [5, 27]. Finally, similar to other autoimmune diseases, AIP patients show a particular HLA haplotype, namely DRB1*0405-DQB1*0401 [31]. Taken together, these findings strongly suggest that autoimmune mechanisms may be involved in the pathogenesis of AIP. This concept is further supported by the common association of AIP with other autoimmune diseases, notably Sjögren's syndrome [3], the frequent occurrence of various autoimmune antibodies such as antibodies against carboanhydrase II and nuclear antigens [28], the elevated IgG4 serum levels and IgG4 positive plasma cells [32, 33], the oligoclonal pattern of T cell receptor gamma gene rearrangements [20] and the responsiveness to steroid therapy [34, 35, 36, 37]. What is unclear is how this immune process is triggered in the pancreas and why it is usually focal and not diffuse as might be expected from an autoimmune disease.

Conclusions

Autoimmune pancreatitis, which has been described morphologically under the terms non-alcoholic duct destructive chronic pancreatitis [4, 5] and lymphoplasmacytic

sclerosing pancreatitis, is a distinct type of chronic pancreatitis. Ductal and periductal inflammatory infiltration predominantly composed of lymphocytes, plasma cells and granulocytes is the histopathological hallmark of AIP. Extension of the inflammatory process to the acinar tissue leads to diffuse fibrosis. Recent studies suggest a role for biopsy in the establishment of the diagnosis of AIP, but the value of this procedure needs to be confirmed in a prospective study [16].

Keywords Autoimmune Diseases;
Cholangitis, Sclerosing; Pancreatitis;
Pathology

Abbreviations AIP: autoimmune pancreatitis;
PSC: primary sclerosing cholangitis

Correspondence

Günter Klöppel
Department of Pathology
University of Kiel
Michaelisstr. 11
24105 Kiel
Germany
Phone: +49-431.597.3400
Fax: +49-431.597.3462
E-mail: gkloepfel@path.uni-kiel.de

References

1. Ball WP, Baggenstoss AH, Bagen JA. Pancreatic lesions associated with chronic ulcerative colitis. *Arch Pathol* 1950; 50:347-58. [PMID 15433704]
2. Sarles H, Sarles JC, Muratore R, Guien C. Chronic inflammatory sclerosis of the pancreas - an autoimmune pancreatic disease? *Am J Dig Dis* 1961; 6:688-98. [PMID 13746542]
3. Yoshida K, Toki F, Takeuchi T, Watanabe S, Shiratori K, Hayashi N. Chronic pancreatitis caused by an autoimmune abnormality. Proposal of the concept of autoimmune pancreatitis. *Dig Dis Sci* 1995; 40:1561-8. [PMID 7628283]
4. Kawaguchi K, Koike M, Tsuruta K, Okamoto A, Tabata I, Fujita N. Lymphoplasmacytic sclerosing pancreatitis with cholangitis: variant of primary sclerosing cholangitis extensively involving pancreas. *Hum Pathol* 1991; 22:387-95. [PMID 2050373]
5. Ectors N, Maillet B, Aerts R, Geboes K, Donner A, Borchard F, et al. Non-alcoholic duct destructive chronic pancreatitis. *Gut* 1997; 41:263-8. [PMID 9301509]
6. Sood S, Fossard DP, Shorrock K. Chronic sclerosing pancreatitis in Sjögren's syndrome: A case report. *Pancreas* 1995; 10:419-21. [PMID 7792301]
7. Okazaki K, Chiba T. Autoimmune related pancreatitis. *Gut* 2002; 51:1-4. [PMID 12077078]
8. Cavallini G, Frulloni L. Autoimmunity and chronic pancreatitis: a concealed relationship. *JOP. J Pancreas (Online)* 2001; 2:61-8. [PMID 11867865]
9. Pearson RK, Longnecker DS, Chari ST, Smyrk TC, Okazaki K, Frulloni L, Cavallini G. Controversies in clinical pancreatology: autoimmune pancreatitis: does it exist? *Pancreas* 2003; 27:1-13. [PMID 12826899]
10. Klöppel G, Lüttges J, Lühr M, Zamboni G, Longnecker D. Autoimmune pancreatitis: pathological, clinical, and immunological features. *Pancreas* 2003; 27:14-9. [PMID 12826900]
11. Scully KA, Li SC, Hebert JC, Trainer TD. The characteristic appearance of non-alcoholic duct destructive chronic pancreatitis. A report of 2 cases. *Arch Pathol Lab Med* 2000; 124:1535-8. [PMID 11035592]
12. Abraham SC, Wilentz RE, Yeo CJ, Sohn TA, Cameron JL, Boitnott JK, Hruban RH. Pancreaticoduodenectomy (Whipple resections) in patients without malignancy. Are they all 'chronic pancreatitis'? *Am J Surg Pathol* 2003; 27:110-20. [PMID 12502933]
13. Notohara K, Burgart LJ, Yadav D, Chari S, Smyrk TC. Idiopathic chronic pancreatitis with periductal lymphoplasmacytic infiltration: clinicopathologic features of 35 cases. *Am J Surg Pathol* 2003; 27:1119-27. [PMID 12883244]
14. Weber SM, Cubukcu-Dimopulo O, Palesty JA, Suriawinata A, Klimstra D, Brennan MF, Conlon K. Lymphoplasmacytic sclerosing pancreatitis: inflammatory mimic of pancreatic carcinoma. *J Gastrointest Surg* 2003; 7:129-37. [PMID 12559194]
15. Youssef N, Petitjean B, Bonte H, Terris B, de Saint Maur PP, Flejou JF. Non-alcoholic duct destructive chronic pancreatitis: a histological, immunohistochemical and in-situ apoptosis study of 18 cases. *Histopathology* 2004; 44:453-61. [PMID 15139993]
16. Zamboni G, Luttges J, Capelli P, Frulloni L, Cavallini G, Pederzoli P, et al. Histopathological features of diagnostic and clinical relevance in autoimmune pancreatitis: a study on 53 resection specimens and 9 biopsy specimens. *Virchows Arch* 2004; 445:552-63. [PMID 15517359]

17. Hamano H, Kawa S, Ochi Y, Takayama M, Komatsu K, Muraki T, et al. Recurrent attacks of autoimmune pancreatitis result in pancreatic stone formation. *Am J Gastroenterol* 2004; 99:932-7. [PMID 15128363]
18. Abraham SC, Leach S, Yeo CJ, Cameron JL, Murakata LA, Boitnott JK, et al. Eosinophilic pancreatitis and increased eosinophils in the pancreas. *Am J Surg Pathol* 2003; 27:334-42. [PMID 12604889]
19. Klöppel G, Detlefsen S, Feyerabend B. Fibrosis of the pancreas: the initial tissue damage and the resulting pattern. *Virchows Arch* 2004; 445:1-8. [PMID 15138818]
20. Esposito I, Bergmann F, Penzel R, di Mola FF, Shrikhande S, Buchler MW, et al. Oligoclonal T-cell populations in an inflammatory pseudotumor of the pancreas possibly related to autoimmune pancreatitis: an immunohistochemical and molecular analysis. *Virchows Arch* 2004; 444:119-26. [PMID 14722765]
21. Abraham SC, Cruz-Correa M, Argani P, Furth EE, Hruban RH, Boitnott JK. Lymphoplasmacytic chronic cholecystitis and biliary tract disease in patients with lymphoplasmacytic sclerosing pancreatitis. *Am J Surg Pathol* 2003; 27:441-51. [PMID 12657928]
22. Wreesmann V, van Eijck CH, Naus DC, van Velthuysen ML, Jeekel J, Mooi WJ. Inflammatory pseudotumour (inflammatory myofibroblastic tumour) of the pancreas: a report of six cases associated with obliterative phlebitis. *Histopathology* 2001; 38:105-10. [PMID 11207823]
23. Uchida K, Okazaki K, Asada M, Yazumi S, Ohana M, Chiba T, Inoue T. Case of chronic pancreatitis involving an autoimmune mechanism that extended to retroperitoneal fibrosis. *Pancreas* 2003; 26:92-4. [PMID 12499924]
24. Chutaputti A, Burrell MI, Boyer JL. Pseudotumor of the pancreas associated with retroperitoneal fibrosis: a dramatic response to corticosteroid therapy. *Am J Gastroenterol* 1995; 90:1155-8. [PMID 7611217]
25. Renner IG, Ponto GC, Savage WT 3rd, Boswell WD. Idiopathic retroperitoneal fibrosis producing common bile duct and pancreatic duct obstruction. *Gastroenterology* 1980; 79:348-51. [PMID 7399241]
26. Nonomura A, Minato H, Shimizu K, Kadoya M, Matsui O. Hepatic hilar inflammatory pseudotumor mimicking cholangiocarcinoma with cholangitis and phlebitis - a variant of primary sclerosing cholangitis? *Pathol Res Pract* 1997; 193:519-25. [PMID 9342759]
27. Uchida K, Okazaki K, Konishi Y, Ohana M, Takakuwa H, Hajiro K, Chiba T. Clinical analysis of autoimmune-related pancreatitis. *Am J Gastroenterol* 2000; 95:2788-94. [PMID 11051349]
28. Okazaki K, Uchida K, Ohana M, Nakase H, Uose S, Inai M, et al. Autoimmune-related pancreatitis is associated with autoantibodies and a Th1/Th2-type cellular immune response. *Gastroenterology* 2000; 118:573-81. [PMID 10702209]
29. Ajjan RA, McIntosh RS, Waterman EA, Watson PF, Franklin CD, Yeoman CM, Weetman AP. Analysis of the T-cell receptor Valpha repertoire and cytokine gene expression in Sjögren's syndrome. *Br J Rheumatol* 1998; 37:179-85. [PMID 9569073]
30. Dienes HP, Lohse AW, Gerken G, Schirmacher P, Gallati H, Lohr HF, et al. Bile duct epithelia as target cells in primary biliary cirrhosis and primary sclerosing cholangitis. *Virchows Arch* 1997; 431:119-24. [PMID 9293893]
31. Kawa S, Ota M, Yoshizawa K, Horiuchi A, Hamano H, Ochi Y, et al. HLA DRB10405-DQB10401 haplotype is associated with autoimmune pancreatitis in the Japanese population. *Gastroenterology* 2002; 122:1264-9. [PMID 11984513]
32. Hamano H, Kawa S, Horiuchi A, Unno H, Furuya N, Akamatsu T, et al. High serum IgG4 concentrations in patients with sclerosing pancreatitis. *N Engl J Med* 2001; 344:732-8. [PMID 11236777]
33. Kamisawa T, Funata N, Hayashi Y, Tsuruta K, Okamoto A, Amemiya K, et al. Close relationship between autoimmune pancreatitis and multifocal fibrosclerosis. *Gut* 2003; 52:683-7. [PMID 12692053]
34. Erkelens GW, Vleggaar FP, Lesterhuis W, van Buuren HR, van der Werf SD. Sclerosing pancreato-cholangitis responsive to steroid therapy. *Lancet* 1999; 354:43-4. [PMID 10406367]
35. Okazaki K. Autoimmune-related pancreatitis. *Curr Treat Options Gastroenterol* 2001; 4:369-75. [PMID 11560784]
36. Saito T, Tanaka S, Yoshida H, Imamura T, Ukegawa J, Seki T, et al. A case of autoimmune pancreatitis responding to steroid therapy. Evidence of histologic recovery. *Pancreatol* 2002; 2:550-6. [PMID 12435868]
37. Horiuchi A, Kawa S, Hamano H, Hayama M, Ota H, Kiyosawa K. ERCP features in 27 patients with autoimmune pancreatitis. *Gastrointest Endosc* 2002; 55:494-9. [PMID 11923760]
38. Zen Y, Harada K, Sasaki M, Sato Y, Tsuneyama K, Haratake J, et al. IgG4-related sclerosing cholangitis with and without hepatic inflammatory pseudotumor, and sclerosing pancreatitis-associated sclerosing cholangitis. Do they belong to a spectrum of sclerosing pancreatitis? *Am J Surg Pathol* 2004; 28:1203. [PMID 15316319]